

## AN ASYMPTOTIC FORMULA INVOLVING THE ENUMERATING FUNCTION OF FINITE ABELIAN GROUPS

*Aleksandar Ivić\**

*Dedicated to Professor Paul Erdős on the occasion of his 80th birthday*

**Let  $a(n)$  denote the number of non-isomorphic Abelian groups with  $n$  elements. It is shown that there is a constant  $C > 0$  such that**

$$\sum_{n \leq x} a(n + a(n)) = Cx + O(x^{11/12+\epsilon}).$$

Let  $a(n)$ , as usual, denote the number of non-isomorphic Abelian (commutative) groups with  $n$  elements. It is well-known that this is a multiplicative function ( $a(mn) = a(m)a(n)$  if  $(m, n) = 1$ ) such that  $a(p^\alpha) = P(\alpha)$  for any prime  $p$  (henceforth  $p$  will always denote primes), where  $P(\alpha)$  is the number of (unrestricted) partitions of  $\alpha$ . Thus, for  $\operatorname{Re} s > 1$ ,

$$\sum_{n=1}^{\infty} a(n)n^{-s} = \zeta(s)\zeta(2s)\zeta(3s)\dots,$$

where  $\zeta(s)$  is the RIEMANN zeta-function. The first paper in which the function  $a(n)$  was studied was written by P. ERDŐS and G. SZEKERES [5]. Later the distribution of values of  $a(n)$  was extensively studied by many authors (see, for example, the papers [2]–[11]). The function  $a(n)$  has the property that  $a(n) = a(s(n))$ , where  $s(n)$  is the squarefull part of  $n$ . Functions with this property were named  $s$ -functions in [8], where their local densities were discussed. Problems involving  $a(n)$  at consecutive integers were investigated in [3], and those involving the iterates of  $a(n)$  in [4]. The local densities of  $a(n)$  were studied in [6], [7] and [11].

---

\*Research financed by the Mathematical Institute of Belgrade.

1991 AMS Subject Classification: Primary 11N 37, Secondary 20K 01

The aim of this note is to furnish an asymptotic formula for the summatory function of  $a(n + a(n))$ . This is motivated by the work of C. SPIRO [12], who proved

$$\sum_{n \leq x, d(n+d(n))=d(n)} 1 \gg \frac{x}{(\log x)^7},$$

where as usual  $d(n)$  is the number of divisors of  $n$ . It seems reasonable to conjecture that, for some  $D > 0$ ,

$$(1) \quad \sum_{n \leq x} d(n + d(n)) = Dx \log x + O(x),$$

although to the best of my knowledge no one has proved or disproved (1) so far. The corresponding problem when  $d(n)$  is replaced by  $a(n)$  (or a suitable prime-independent multiplicative function  $f(n)$  such that  $f(p) = 1$ ) is much less difficult. This is roughly due to the fact that  $d(p) = 2$  and  $a(p) = 1$ . We shall prove the following

**Theorem.** *There is an effectively computable constant  $C > 0$  such that for any given  $\varepsilon > 0$*

$$(2) \quad \sum_{n \leq x} a(n + a(n)) = Cx + O(x^{11/12+\varepsilon}).$$

Before we give the proof of (2) it should be remarked that very likely the exponent  $11/12$  in the error term in (2) is far from the best possible one. In fact, I conjecture that the error term in question is  $O(x^{1/2+\varepsilon})$  for any given  $\varepsilon > 0$  and  $\Omega(x^{1/2-\delta})$  for any given  $\delta > 0$ . It would be interesting to compare  $C$  in (2) with the constant

$$C_0 = \lim_{x \rightarrow \infty} x^{-1} \sum_{n \leq x} a(n) = \zeta(2)\zeta(3)\zeta(4) \dots = 2.29485 \dots,$$

which represents the mean value of  $a(n)$ . Numerical computation of  $C$  is not easy, and I cannot rule out the possibility that  $C = C_0$ .

Henceforth let  $q$  denote squarefree numbers ( $\mu^2(q) = 1$ ) and  $s$  squarefull numbers ( $p \mid s$  implies  $p^2 \mid s$ ), respectively. We start from

$$\sum_{n \leq x} a(n + a(n)) = \sum_{k \leq x^\varepsilon} \sum_{n \leq x, a(n)=k} a(n+k) = \sum_{k \leq x^\varepsilon} S(x, k),$$

where we used the bound (see [9])  $\log a(n) \ll \log n / \log \log n$ , which implies  $a(n) \leq n^\varepsilon$  ( $n \geq n_0$ ), and where we set

$$\begin{aligned} S(x, k) &:= \sum_{n \leq x, a(n)=k} a(n+k) = \sum_{s \leq x, a(s)=k} \sum_{q \leq x/s, (q,s)=1} a(qs+k) \\ &= \sum_{s \leq x^\alpha, a(s)=k} \sum_{q \leq x/s, (q,s)=1} a(qs+k) + O(x^{1-\alpha/2+\varepsilon}) \end{aligned}$$

uniformly in  $k$ , where  $\alpha$  is a constant such that  $0 < \alpha < 1/3$  which will be determined later. Here we used the already mentioned property that  $a(n) = a(s)$  if  $n = qs$ ,  $(q, s) = 1$ , which follows from  $a(p) = 1$  and multiplicativity. Now we shall use (see [7] and [8]) the uniform estimate

$$\sum_{q \leq x, (q,r)=1} 1 = 6\pi^{-2}x \prod_{p|r} (1+p^{-1})^{-1} + O(x^{1/2}r^\varepsilon)$$

to obtain, uniformly for  $1 \leq s \leq x^\alpha < x^{1/3}$ ,  $1 \leq k \leq x^\varepsilon$ ,

$$\begin{aligned} \sum_{q \leq x/s, (q,s)=1} a(qs+k) &= \sum_{d^2 l \leq x/s, (d,s)=1, (l,s)=1} \mu(d)a(d^2 ls+k) \\ &= \sum_{d \leq x^{\alpha/2}, (d,s)=1} \mu(d) \sum_{l \leq x/(d^2 s), (l,s)=1} a(d^2 ls+k) + O\left(\frac{x}{s} \sum_{d > x^{\alpha/2}} x^\varepsilon d^{-2}\right) \\ &= \sum_{d \leq x^{\alpha/2}, (d,s)=1} \mu(d) \sum_{\delta|s} \mu(\delta) \sum_{m \leq x/(d^2 \delta s)} a(d^2 \delta sm+k) + O(x^{1+\varepsilon-\alpha/2} s^{-1}) \\ &= \sum_{d \leq x^{\alpha/2}, (d,s)=1} \mu(d) \sum_{\delta|s} \mu(\delta) \sum_{n \leq x, n \equiv k \pmod{r}} a(n) + O(x^{\alpha/2+\varepsilon}) + O(x^{1+\varepsilon-\alpha/2} s^{-1}). \end{aligned}$$

Here we set  $r = d^2 \delta s$  and we used the elementary relations

$$\mu^2(n) = \sum_{d^2|n} \mu(d), \sum_{d|n} \mu(d) = \begin{cases} 1 & n = 1, \\ 0 & n > 1. \end{cases}$$

Moreover the first  $O$ -term above may be absorbed by the second one if  $0 < \alpha < 1/3$ . Thus we are left with the evaluation of

$$T(x, k, r) := \sum_{n \leq x, n \equiv k \pmod{r}} a(n) \quad (1 \leq k \leq x^\varepsilon, 1 \leq r \leq x),$$

and we seek an asymptotic formula for  $T(x, k, r)$  with the error term uniform in  $k$  and  $r$ . There are results in the literature on  $T(x, k, r)$  due to H.-E. RICHERT [11] and J. DUTTLINGER [2]. For  $(k, r) = 1$  one can get by an elementary argument, uniformly for  $1 \leq r \leq x$ ,

$$(3) \quad T(x, k, r) = B(r)x + O((rx)^{1/2+\varepsilon}), \quad B(r) = O(1/r),$$

where  $B(r)$  is given explicitly by (5) and (6). For  $1 \leq k \leq x^\varepsilon$ ,  $1 \leq r \leq x$ ,  $(k, r) > 1$  formula (5.2) of DUTTLINGER's paper implies that uniformly

$$(4) \quad T(x, k, r) = B(k, r)x + O((rx)^{1/2+\varepsilon}), \quad B(k, r) = O(r^{\varepsilon-1}),$$

since in that case  $d = d_1 d_2 \ll x^\varepsilon$  and (4) follows by the condition  $\alpha(m) \mid d_1$ ,  $\alpha(m) = \prod_{p|m} p$ , so that one can majorize over squarefull numbers. Thus combining (3) and (4) we have a formula for  $T(x, k, r)$  valid in all cases, where we set  $B(r) = B(k, r)$  if  $(k, r) = 1$  for notational convenience. If  $r$  is bounded by a (relatively) small

power of  $x$ , then both RICHERT and DUTTLINGER obtain much sharper results for  $T(x, k, r)$ , which involve the existence of two more main terms besides  $B(k, r)x$ . An obvious way to improve on the exponent  $11/12$  in (2) is to sharpen (3) and (4). We postpone the proof of (3) and carry on with the evaluation of  $S(x, k)$ . We obtain

$$\begin{aligned}
S(x, k) &= O(x^{1+\varepsilon-\alpha/2}) \\
&\quad + \sum_{s \leq x^\alpha, a(s)=k} \sum_{d \leq x^{\alpha/2}, (d,s)=1} \mu(d) \sum_{\delta|s} \mu(\delta) \{xB(k, d^2 \delta s) + O(x^{1/2+\varepsilon} d(\delta s)^{1/2})\} \\
&= x \left\{ \sum_{s=1, a(s)=k} \sum_{d=1, (d,s)=1} \mu(d) \sum_{\delta|s} \mu(\delta) B(k, d^2 \delta s) \right\} \\
&\quad + O(x^{1+\varepsilon-\alpha/2}) + O\left(x^{1/2+\varepsilon} \sum_{s \leq x^\alpha} s \sum_{d \leq x^{\alpha/2}} d\right) \\
&= xA(k) + O(x^{1+\varepsilon-\alpha/2}) + O(x^{(1+5\alpha)/2+\varepsilon}) = xA(k) + O(x^{11/12+\varepsilon})
\end{aligned}$$

for  $\alpha = 1/6$  with

$$A(k) := \sum_{s=1, a(s)=k} \sum_{d=1, (d,s)=1} \mu(d) \sum_{\delta|s} \mu(\delta) B(k, d^2 \delta s).$$

By using  $B(k, r) \ll r^{\varepsilon-1}$  in the relevant range it follows that

$$\begin{aligned}
\sum_{n \leq x} a(n + a(n)) &= \sum_{k \leq x^\varepsilon} S(x, k) = x \sum_{k \leq x^\varepsilon} A(k) + O(x^{11/12+\varepsilon}) \\
&= x \sum_{k=1}^{\infty} A(k) + O\left(x \sum_{k > x^\varepsilon} \sum_{s=1, a(s)=k} s^{\varepsilon-1}\right) + O(x^{11/12+\varepsilon}) \\
&= x \sum_{k=1}^{\infty} A(k) + O(x^{11/12+\varepsilon}),
\end{aligned}$$

since  $a(s) = k$  implies  $s \gg \exp(C_1 \log k / \log \log k)$  for some  $C_1 > 0$ , and  $\sum_{s \leq x} 1 \ll x^{1/2}$ . This proves (2) with

$$C = \sum_{k=1}^{\infty} A(k) > 0.$$

Finally it remains to sketch the proof of (3) for  $(k, r) = 1$ . Let  $\chi(n)$  be a DIRICHLET character modulo  $r$ . If  $\chi_0$  is the principal character mod  $r$ , then

$$\begin{aligned}
\sum_{n \leq x} \chi_0(n) a(n) &= \sum_{n \leq x, (n,r)=1} a(n) = \sum_{s \leq x, (s,r)=1} a(s) \sum_{q \leq x/s, (q,s)=1, (q,r)=1} 1 \\
&= \sum_{s \leq x, (s,r)=1} a(s) \left\{ \frac{6x}{\pi^2 s} \prod_{p|sr} \frac{1}{1+p^{-1}} + O\left(\left(\frac{x}{s}\right)^{1/2} x^\varepsilon\right) \right\} \\
&= \frac{6x}{\pi^2} \prod_{p|r} \frac{1}{1+p^{-1}} \prod_{p \nmid r} \left(1 + \frac{a(p^2)p^{-2} + a(p^3)p^{-3} + \dots}{1+p^{-1}}\right) + O(x^{1/2+\varepsilon})
\end{aligned}$$

$$= 6\pi^{-2}C(r)x + O(x^{1/2+\varepsilon})$$

uniformly for  $1 \leq r \leq x$ , where we have set

$$(5) \quad C(r) := \prod_{p|r} \frac{1}{1+p^{-1}} \prod_{p \nmid r} \left( 1 + \frac{P(2)p^{-2} + P(3)p^{-3} + \dots}{1+p^{-1}} \right) = O(1).$$

If  $\chi(n)$  is a non-principal character mod  $r$ , then

$$\begin{aligned} \sum_{n \leq x} a(n)\chi(n) &= \sum_{s \leq x} \chi(s)a(s) \sum_{q \leq x/s, (q,s)=1} \chi(q) \\ &= \sum_{s \leq x} \chi(s)a(s) \sum_{d^2 l \leq x/s, (d,s)=1, (l,s)=1} \mu(d)\chi(d^2 l) \\ &= \sum_{s \leq x} \chi(s)a(s) \sum_{d \leq (x/s)^{1/2}, (d,s)=1} \mu(d)\chi^2(d) \sum_{l \leq x/(d^2 s), (l,s)=1} \chi(l) \\ &= \sum_{s \leq x} \chi(s)a(s) \sum_{d \leq (x/s)^{1/2}, (d,s)=1} \mu(d)\chi^2(d) \sum_{\delta|s} \mu(\delta)\chi(\delta) \sum_{m \leq x/(d^2 \delta s)} \chi(m). \end{aligned}$$

To estimate the innermost sum above we use the classical PÓLYA-VINOGRADOV inequality (see H. DAVENPORT [1, Ch. 23]):

$$\sum_{M < n \leq M+N} \chi(n) = O(r^{1/2} \log r) \quad (\chi \neq \chi_0; M, N \geq 1).$$

This gives

$$\sum_{n \leq x} a(n)\chi(n) \ll x^{1/2} \sum_{s \leq x} a(s)d(s)s^{-1/2}r^{1/2} \log r \ll (xr)^{1/2+\varepsilon}.$$

Using then the orthogonality relations for characters ( $\varphi$  is EULER's function), namely

$$\frac{1}{\varphi(r)} \sum_{\chi \pmod{r}} \chi(n)\bar{\chi}(k) = \begin{cases} 1 & n \equiv k \pmod{r} \\ 0 & n \not\equiv k \pmod{r} \end{cases} \quad ((k, r) = 1)$$

we obtain

$$\begin{aligned} T(x, k, r) &= \frac{1}{\varphi(r)} \sum_{\chi \pmod{r}} \bar{\chi}(k) \left( \sum_{n \leq x} \chi(n)a(n) \right) \\ &= \frac{6C(r)x}{\pi^2 \varphi(r)} + O(x^{1/2+\varepsilon}) + \frac{1}{\varphi(r)} \sum_{\chi \pmod{r}, \chi \neq \chi_0} \bar{\chi}(k) \left( \sum_{n \leq x} \chi(n)a(n) \right) \\ &= B(r)x + O((xr)^{1/2+\varepsilon}) \end{aligned}$$

uniformly in  $r$ , where

$$\begin{aligned}
 (6) \quad B(r) &:= \frac{6C(r)}{\pi^2 \varphi(r)} = \\
 &= \frac{6}{\pi^2 r} \prod_{p|r} \left(1 - \frac{1}{p}\right)^{-1} \left(1 + \frac{1}{p}\right)^{-1} \prod_{p \nmid r} \left(1 + \frac{P(2)p^{-2} + P(3)p^{-3} + \dots}{1 + p^{-1}}\right) \\
 &= O\left(\frac{1}{r} \prod_{p|r} \frac{1}{1 - p^{-2}}\right) = O\left(\frac{1}{r}\right).
 \end{aligned}$$

This proves (3), and completes the proof of the Theorem.

### REFERENCES

1. [\[1\]](#) : *Multiplicative Number Theory* (2nd ed.). Springer Verlag, Berlin-Heidelberg-New York, 1980.
2. [\[2\]](#) : *Über die Anzahl Abelscher Gruppen gegebener Ordnung*, J. Reine Angew. Math. **273** (1975), 61–76.
3. [\[3\]](#) : *The distribution of values of a certain class of arithmetic functions at consecutive integers*. in: Coll. Math. Soc. J. Bolyai **51**, Elsevier/North-Holland, Amsterdam 1989, 45–91.
4. [\[4\]](#) : *On the iterates of the enumerating function of finite Abelian groups*. Bull. Acad. Sci. Serbe Math., **99** 17 (1989), 13–22.
5. [\[5\]](#) : *Über die Anzahl der Abelschen Gruppen gegebener Ordnung und über ein verwandtes zahlentheoretisches Problem*, Acta Sci. Math. (Szeged) **7** (1935), 95–102.
6. [\[6\]](#) : *The distribution of values of the enumerating function of non-isomorphic Abelian groups of finite order*, Arch. Math. **30** (1978), 374–379.
7. [\[7\]](#) : *On the number of Abelian groups of a given order and on certain related multiplicative functions*. J. Number Theory **16** (1983), 119–137.
8. [\[8\]](#) : *Local densities over integers free of large prime factors*. Quart. J. Math. (Oxford) (2) **37** (1986), 401–417.
9. [\[9\]](#) : *Die maximale ordnung der Anzahl der wesentlich verschiedenen Abelschen Gruppen  $n$ -ter Ordnung*. Quart. J. Math. (Oxford) (2) **21** (1970), 273–275.
10. [\[10\]](#) : *The distribution of  $a(n)$* , Arch. Math. **57** (1991), 47–52.
11. [\[11\]](#) : *Über die Anzahl Abelscher Gruppen gegebener Ordnung II*. Math. Zeit. **58** (1953), 71–84.
12. [\[12\]](#) : *An iteration problem involving the divisor function*. Acta Arith. **46** (1986), 215–225.

Department of Mathematics,  
Faculty of Mining and Geology,  
University of Belgrade,  
Đušina 7, 11000 Belgrade,  
Yugoslavia

(Received May 11, 1992)