

679. SOME REMARKS ON THE STIRLING NUMBERS\*

*L. Carlitz*

1. The Stirling numbers  $S_1(n, k)$ ,  $S(n, k)$  of the first and second kind respectively, can be defined by

$$(1.1) \quad x(x+1) \cdots (x+n-1) = \sum_{k=0}^n S_1(n, k) x^k$$

and

$$(1.2) \quad x^n = \sum_{k=0}^n S(n, k) x(x-1) \cdots (x-k+1).$$

Also it is well known that  $S_1(n, n-k)$  and  $S(n, n-k)$  are polynomials in  $n$  of degree  $2k$  and that, for  $k \geq 1$ ,

$$(1.3) \quad S_1(n, n-k) = S(n, n-k) = 0 \quad (0 \leq n \leq k).$$

It is proved in [4] that there exist two triangular arrays

$$(B_1(k, j)), (B(k, j)) \quad (k = 1, 2, \dots; j = 1, \dots, k)$$

such that, for  $k \geq 1$ ,

$$(1.4) \quad S_1(n, n-k) = \sum_{j=1}^k B_1(k, j) \binom{n+j-1}{2k}$$

and

$$(1.5) \quad S(n, n-k) = \sum_{j=1}^k B(k, j) \binom{n+j-1}{2k}.$$

Moreover

$$(1.6) \quad B_1(k, j) = B(k, k-j+1) \quad (1 \leq j \leq k).$$

By means of (1.4) and (1.5),  $S_1(n, n-k)$  and  $S(n, n-k)$  are defined as polynomials in  $n$  for arbitrary real or complex  $n$ .

\* Received December 22, 1977 and presented June 23, 1980 by D. S. MITRINOVIĆ.

Substituting from (1.6) in (1.4) we get

$$S_1(n, n-k) = \sum_{j=1}^k B(k, k-j+1) \binom{n+j-1}{2k} = \sum_{j=1}^k B(k, j) \binom{n+k-j}{2k},$$

so that

$$S_1(-n+k, -n) = \sum_{j=1}^k B(k, j) \binom{-n+2k-j}{2k} = \sum_{j=1}^k B(k, j) \binom{n+j-1}{2k}.$$

Therefore, by (1.5)

$$(1.7) \quad S_1(-n+k, -n) = S(n, n-k)$$

and similarly

$$(1.8) \quad S(-n+k, -n) = S_1(n, n-k).$$

For references see [5].

2. We have also the representations

$$(2.1) \quad S_1(n, n-k) = \sum_{j=0}^{k-1} S'_1(k, j) \binom{n}{2k-j}$$

and

$$(2.2) \quad S(n, n-k) = \sum_{j=0}^{k-1} S'(k, j) \binom{n}{2k-j}.$$

The coefficients on the right are the numbers of JORDAN [6, Ch.4] and WARD [7]. For the notation used here see [2].

In (2.1) replace  $n$  by  $-n+k$ . Thus

$$\begin{aligned} S_1(-n+k, n) &= \sum_{j=0}^{k-1} S'_1(k, j) \binom{-n+k}{2k-j} = \sum_{j=0}^{k-1} (-1)^j S_1(k, j) \binom{n+k-j-1}{2k-j} \\ &= \sum_{j=0}^{k-1} (-1)^j S'_1(k, j) \sum_{t=j}^{k-1} \binom{n}{2k-t} \binom{k-j-1}{t-j} = \sum_{t=0}^{j-1} \binom{n}{2k-t} \sum_{j=0}^t (-1)^j S'_1(k, j) \binom{k-j-1}{t-j}. \end{aligned}$$

Hence, by (1.7) and (2.2),

$$(2.3) \quad S'(k, t) = \sum_{j=0}^t (-1)^j S'_1(k, j) \binom{k-j-1}{t-j}.$$

Similarly we have

$$(2.4) \quad S'_1(k, t) = \sum_{j=0}^t (-1)^j S'(k, j) \binom{k-j-1}{t-j}.$$

For a different proof of (2.3) and (2.4) as well as other relations of this kind involving the other coefficients see [2].

3. The results of §1 suggest the following.

Let

$$(3.1) \quad \{f_k(x)\}, \{f_{1,k}(x)\} \quad (k=0, 1, 2, \dots)$$

be two sequences of polynomials that satisfy

$$(3.2) \quad \deg f_k(x) = \deg f_{1,k}(x) = 2k \quad (k=0, 1, 2, \dots)$$

and

$$(3.2)' \quad f_k(j) = f_{1,k}(j) = 0 \quad (0 \leq j \leq k).$$

We may put [3], for  $k \geq 1$ ,

$$(3.3) \quad f_k(x) = \sum_{j=1}^k b(k, j) \binom{x+j-1}{2k}, \quad f_{1,k}(x) = \sum_{j=1}^k b_1(k, j) \binom{x+j-1}{2k}.$$

We shall say that the sequences  $\{f_k(x)\}, \{f_{1,k}(x)\}$  are *conjugate* provided

$$(3.4) \quad b_1(k, j) = b(k, k-j+1) \quad (1 \leq j \leq k).$$

Substituting from (3.4) in the second of (3.3) we get

$$\begin{aligned} f_{1,k}(x) &= \sum_{j=1}^k b(k, k-j+1) \binom{x+j-1}{2k} = \sum_{j=1}^k b(k, j) \binom{x+k-j}{2k} \\ &= \sum_{j=1}^k b(k, j) \binom{-x+k+j-1}{2k}. \end{aligned}$$

Thus  $f_{1,k}(-x+k) = \sum_{j=1}^k b(k, j) \binom{x+j-1}{2k}$ , so that

$$(3.5) \quad f_{1,k}(-x+k) = f_k(x) \quad (k=1, 2, \dots).$$

Conversely if we assume (3.5) then the above steps can be reversed to get (3.4).

This proves the following

**Theorem.** *Two sequences of polynomials  $\{f_k(x)\}, \{f_{1,k}(x)\}$  that satisfy (3.1), (3.2) and (3.2)' are conjugate if and only if (3.5) holds.*

**Corollary.** *The sequence  $\{f_k(x)\}$  is self-conjugate if and only if*

$$(3.6) \quad f_k(-x+k) = f_k(x) \quad (k=1, 2, \dots)$$

or equivalently

$$(3.7) \quad b(k, k-j+1) = b(k, j) \quad (1 \leq j \leq k).$$

4. As an example illustrating the corollary we take

$$(4.1) \quad f_k(x) = \binom{x}{k} \binom{x-1}{k}.$$

It is easily verified that  $f_k(x)$  satisfies (3.6). Put

$$(4.2) \quad \binom{x}{k} \binom{x-1}{k} = \sum_{j=1}^k b(k, j) \binom{x+j-1}{2k}.$$

Multiply both sides of (4.2) by  $(x-k)(x-k-1)/(k+1)^2$ . Using the identity

$$(x-k)(x-k-1) = (x+j-2k-1)(x+j-2k-2) \\ + 2(k-j+1)(x+j-2k-1) + (k-j)(k-j+1),$$

we find after some manipulation that  $b(k, j)$  satisfies the recurrence

$$(4.3) \quad b(k+1, j) = (k-j+2)(k-j+3)b(k, j-2) \\ + 2(k+j)(k-j+2)b(k, j-1) + (k+j)(k+j+1)b(k, j).$$

Also, by (3.6), we have

$$(4.4) \quad b(k, k-j+1) = b(k, j) \quad (1 \leq j \leq k).$$

An explicit formula for  $b(k, j)$  is obtained as a special case of the following general result [3, §7].

Let  $f(x)$  be an arbitrary polynomial of degree  $k$  and put

$$(4.5) \quad f(x+y-1) = \sum_{j=0}^k \binom{x+j-1}{k} C_{k,j}(y).$$

Then

$$(4.6) \quad C_{k,j}(y) = \sum_{t=0}^j (-1)^{k-t} \binom{k+1}{t} f(y-j+t-1)$$

and conversely.

In (4.5) replace  $k$  by  $2k$  and take  $y=1$ . Thus (4.5) becomes

$$f(x) = \sum_{j=1}^k \binom{x+j-1}{2k} C_{2k,j}(1) \quad \text{and} \quad \text{quali} \quad C_{2k,j}(1) = \sum_{t=0}^j (-1)^t \binom{2k+1}{t} f(-j+t).$$

Finally, taking  $f(x) = \binom{x}{k} \binom{x-1}{k}$ , we get

$$(4.7) \quad b(k, j) = \sum_{t=0}^j (-1)^t \binom{2k+1}{t} \binom{-j+t}{k} \binom{-j+t-1}{k}$$

or, if we prefer,

$$(4.8) \quad b(k, j) = \sum_{t=1}^j (-1)^{j-t} \binom{2k+1}{j-t} \binom{k+t}{k} \binom{k+t-1}{k} \quad (k \geq 1).$$

The sum on the right is Saalschützian [1, p. 9] and we find that

$$(4.9) \quad b(k, j) = \frac{k+1}{k} \binom{k}{j} \binom{k}{j-1} = \binom{k+1}{j} \binom{k-1}{j-1}.$$

Therefore finally we have

$$(4.10) \quad \binom{x}{k} \binom{x-1}{k} = \sum_{j=1}^k \frac{k+1}{k} \binom{x+j-1}{2k} \binom{k}{j} \binom{k}{j-1} = \sum_{j=0}^{k-1} \binom{x+j}{2k} \binom{k+1}{j+1} \binom{k-1}{j}.$$

The identity (4.10) can be verified by SAALSCHÜTZ'S theorem.

#### REFERENCES

1. W. N. BAILEY: *Generalized Hypergeometric Series*. Cambridge 1935.
2. L. CARLITZ: *Note on the numbers of Jordan and Ward*. Duke Math. J. **38** (1971), 783—790.
3. L. CARLITZ: *Polynomial representations and compositions I*. Houston Journal of Math. **2** (1976), 23—48.
4. L. CARLITZ: *Some numbers related to the Stirling numbers of the first and second kind*. These Publications № 554—№ 576 (1976), 49—55.
5. H. W. GOULD: *Stirling number representation problems*. Proc. Amer. Math. Soc. **11** (1960), 447—451.
6. C. JORDAN: *Calculus of finite differences*. New York 1947.
7. M. WARD: *The representation of Stirling's numbers and Stirling's polynomials as sum of factorials*. Amer. Journal of Math. **56** (1934), 87—95.

Duke University  
Department of Mathematics  
Durham, N. C. 27706  
U.S.A.

#### NEKE PRIMEDBE O STIRLINGOVIM BROJEVIMA

L. C<sup>y</sup> U<sup>j</sup>

U ovom radu uopštene su neke formule za Stirlingove brojeve prve i druge vrste.